

A

NT COMMISSIONER FOR PATENTS  
ENT APPLICATION  
on, D.C. 20231

12-03-01

U.S. PTO  
10/001278  
11/01/01

mitted herewith for filing is the

patent application of  
continuation application of  
continuation-in-part patent application of

☐ divisional application of  
☐ prior application information: Examiner  
☐

Inventor(s)/Applicant Identifier: **Robert V. Farese, Jr. et al.**

For: **ALPHA-TOCOPHEROL TRANSFER PROTEIN KNOCKOUT ANIMALS**

- ☐ For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can be relied upon when a portion has been inadvertently omitted from the submitted application parts.
- ☒ This application claims priority from each of the following Application Nos./filing dates: **60/245,302 Filed November 2, 2000**, the disclosure(s) of which is (are) incorporated by reference.
- ☐ Please amend this application by adding the following before the first sentence: "This application is a ☐ continuation ☐ continuation-in-part of and claims the benefit of U.S. Application No. \_\_\_\_\_, the disclosure of which is incorporated by reference for all purposes."

**Enclosed are:**

- ☒ 49 pages of the description (including specification, claims and abstract)
- ☒ 4 sheet(s) of ☐ formal ☐ informal drawing(s)
- ☒ 1 abstract
- ☒ 56 number of claims
- ☐ an assignment of the invention to \_\_\_\_\_
- ☐ a ☐ signed ☐ unsigned Declaration
- ☐ a Power of Attorney
- ☒ Sequence Listing (diskette + statement accompanying)
- ☒ cover sheet
- ☐ Information Disclosure Statement under 37 CFR 1.97
- ☐ a petition to extend time to respond in the parent application
- ☐ notification of change of ☐ power of attorney ☐ correspondence address filed in prior application
- ☒ Patent Application Filing Acknowledgement postcard
- ☒ 1 extra copy of this sheet is enclosed
- ☐ a verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27
- ☐ ( ) is enclosed
- ☐ ( ) was filed in the prior application and small entity status is still proper and desired
- ☒ Application data entry sheet

(Col. 1)

(Col. 2)

FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	56- 20	=36
INDEP. CLAIMS	6- 3	=3
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED		

## SMALL ENTITY

RATE	FEE
	\$370.00
x \$ 9.00 =	
x \$42.00 =	
+ \$140.00 =	
TOTAL	

OR

OR

OR

OR

OR

OR

OTHER THAN  
SMALL ENTITY

RATE	FEE
	\$740.00
x \$18.00 =	\$648
x \$84.00 =	\$252
+ \$280.00 =	
TOTAL	\$ 1,640.00

Please charge Deposit Account No. 50-0893 as follows:

- ☒ Filing fee. **\$1,640.00**
- ☒ Any additional fees associated with this paper or during the pendency of this application.
- ☒ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

Respectfully submitted,

Tom Hunter

Reg. No.: 38,498

Applicant's Representative

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PATENT TRADEMARK OFFICE

Attorney Docket No. **407T-927110US**

Client Reference No. **2001-10101**

Express Mail Label No. **EL855327582US**

Date of Deposit: **November 1, 2001**

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above, addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington D.C. 20231

By: **Debbie Berwick**

Debbie Berwick

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee: Express Mail No. EL 855327579 in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on November 1, 2001

LAW OFFICES OF JONATHAN ALAN QUINE

By Debbie Berwick  
Debbie Berwick

Attorney Docket No. 407T-927110US  
Client Ref. No. 2001-10101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Robert V. Farese, Jr. et al.

Application No.:

Filed: November 1, 2001

For: **ALPHA-TOCOPHEROL TRANSFER  
PROTEIN KNOCKOUT ANIMALS**

Examiner: Unassigned

Art Unit: Unassigned

STATEMENT ACCOMPANYING  
SEQUENCE LISTING

Assistant Commissioner for Patents  
Box Sequence Listing  
Washington, D.C. 20231


Sir:

The undersigned hereby states that the Sequence Listing submitted concurrently herewith does not include matter which goes beyond the content of the application as filed and that the information recorded on the diskette submitted concurrently herewith is identical to the written Sequence Listing.

Respectfully submitted,

November 1, 2001

Date

  
Tom Hunter  
Reg. No. 38,498

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